
Citation:

Hope, MJ and McCloskey, J and Hunt, D and Crowley, D (2016) Integrating aftershock forecasting into humanitarian decision-making: lessons from the April 2015 Nepal earthquake. Humanitarian Exchange.

Link to Leeds Beckett Repository record:

<https://eprints.leedsbeckett.ac.uk/id/eprint/3388/>

Document Version:

Article (Published Version)

Permission granted to make article openly available on 19/12/2016 (attributed to ODI and HPN)

The aim of the Leeds Beckett Repository is to provide open access to our research, as required by funder policies and permitted by publishers and copyright law.

The Leeds Beckett repository holds a wide range of publications, each of which has been checked for copyright and the relevant embargo period has been applied by the Research Services team.

We operate on a standard take-down policy. If you are the author or publisher of an output and you would like it removed from the repository, please [contact us](#) and we will investigate on a case-by-case basis.

Each thesis in the repository has been cleared where necessary by the author for third party copyright. If you would like a thesis to be removed from the repository or believe there is an issue with copyright, please contact us on openaccess@leedsbeckett.ac.uk and we will investigate on a case-by-case basis.



An earthquake-damaged street in Singati, Dolakha District.

Photo credit: Deborah Underdown, June 2015

Integrating aftershock forecasting into humanitarian decision-making: lessons from the April 2015 Nepal earthquake

Max Hope, John McCloskey, Dom Hunt and Dominic Crowley

15 December 2016

Large earthquakes (and secondary hazards such as aftershocks, tsunamis and earthquake-triggered landslides) continue to cause some of the most devastating humanitarian disasters, yet they remain poorly understood by humanitarian organisations. This is partly because of the unique features of major earthquakes compared to other disasters (International Federation of Red Cross and Red Crescent Societies , 2012). They are unpredictable, low-frequency, high-impact events with little or no warning and very rapid onset. Some level of preparedness and disaster risk reduction is possible in at-risk areas (risk mapping and targeted mitigation, awareness-building, modification of building codes and logistical response capacities,

for example) (Concern Worldwide, 2015), but decision-making in the response phase immediately after a major earthquake has often been reactive and ad hoc, rather than evidence-based and strategic.

While the timing of earthquakes cannot be predicted, they are not random. Areas prone to earthquakes are well known, and the science of determining stress build-up along fault lines is well-understood. Scientific approaches are also being developed that can forecast the intensity and spatial distribution of aftershocks. This paper reports on a collaboration between scientists from the University of Edinburgh School of Geosciences and Concern Worldwide¹ to integrate this work into humanitarian planning, preparedness and response decision-making processes. We are also developing a training and support programme for humanitarian workers on how to interpret the forecasts and use them in emergency situations. This paper outlines the main features of the approach and describes the lessons from Concern's use of a prototype during its emergency response to the earthquake in Nepal in April 2015.

Aftershock forecasting for humanitarian emergency planning and response

The aftershock forecasting techniques used in this work are based on analysis of online earthquake catalogues, which record the time, location and size of earthquakes worldwide (for example USGS, 2016). In the days immediately following an initial earthquake, the way in which the aftershock rate decays is carefully monitored and extrapolated to indicate the number of events that might be expected over defined future periods. At the same time, the distribution of event size is monitored to forecast the number of large events that might be expected given the distribution of small events. An example of the resulting forecast is presented in Table 1.

¹ Funded by the UK Natural Environmental Research Council (NERC) under its Probability and Uncertainty in Risk Estimation (PURE) programme for the project Aftershock Forecasting Tool for Emergency Response (AFTER).

$n = 10$	M	4.5	5.0	5.5	6.0	6.5	7.0	7.5	8.0	8.5
	p	0.791	0.439	0.192	0.076	0.029	0.011	0.004	0.001	0.001
	$worst$	1.000	0.826	0.409	0.172	0.068	0.026	0.010	0.004	0.001
$n = 20$	M	4.5	5.0	5.5	6.0	6.5	7.0	7.5	8.0	8.5
	p	0.948	0.664	0.331	0.138	0.053	0.020	0.007	0.003	0.001
	$worst$	1.000	1.000	0.673	0.308	0.125	0.049	0.019	0.007	0.003
$n = 30$	M	4.5	5.0	5.5	6.0	6.5	7.0	7.5	8.0	8.5
	p	0.985	0.788	0.436	0.190	0.075	0.028	0.011	0.004	0.001
	$worst$	1.000	1.000	0.849	0.419	0.176	0.069	0.027	0.010	0.004

Table 1: Probability of an event exceeding a given magnitude. For each forecast period, n days (leftmost column), the top row gives the magnitude (M), the second gives the best estimate of the probability (p) that an event of that size will happen in this time, and the third gives a worst case estimate which includes an estimate of the uncertainty in the modelling and the data. This table can be calculated automatically in near-real-time as the sequence unfolds.

The technical information contained in this table requires some explanation. Consider the forecasts for the 30-day time period. Under the 5.0 magnitude (M) we see the figures 0.788 and 1.000. These figures mean that the best guess is that there is nearly an 80% ($p=0.788$) chance of a magnitude 5 or greater aftershock during the next 30 days, and an event of this size would have to be considered almost inevitable when we include the worst case uncertainty in the forecast ($p=1.000$). In addition, the persistence of aftershock activity can be used to make a first-order estimate of those geographic areas most likely to experience future activity. A plot of the number of events being experienced per unit time in a given area shows those regions which are most active and which are, consequently, most likely to experience future aftershocks (see Figure 1).

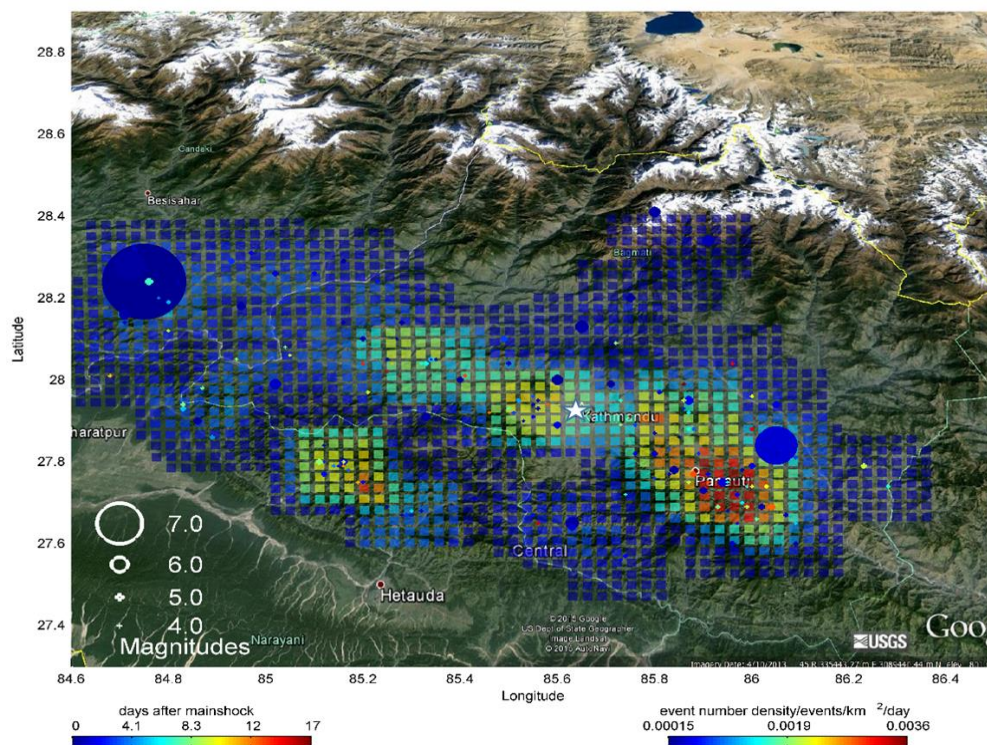


Figure 1: Earthquake density plot for Nepal on 11 May 2015. The method relies on the persistence of the density of aftershocks in time, which was strong in the Nepal case. A star marks the location of Kathmandu.

The forecasts for the Nepal 2015 earthquake were produced in real time by the University of Edinburgh team, who also gave one-to-one email support to Concern staff. In addition, a number of workshops were run prior to the earthquake to develop an understanding of earthquake science and forecasts within Concern’s emergency team, refine the format and content of the aftershock report template and work through a number of scenarios to draw out possible implications for humanitarian practice. The quality of the forecasts and approach was assured by academic peer review and scrutinised by an international panel of geoscientists and humanitarian experts in January 2016.

The April 2015 earthquake: aftershocks, forecasts and the humanitarian response

The magnitude 7.8 earthquake in Nepal occurred on Saturday 25 April 2015. The epicentre was to the north-west of Kathmandu in Gorkha District; an estimated that 8,000 people were killed, 20,000 injured and hundreds of thousands more made

homeless. By Tuesday 28 April, Concern Worldwide had a team of five international staff in Nepal. A needs assessment was conducted and the decision made to focus distribution efforts on the badly hit Sindhupalchowk, Dolakha and Sindhuli districts, with the main effort concentrated on Dolakha. The University of Edinburgh team began the process of operational forecasting on 26 April, and was satisfied with the quality of the forecasts by the first few days of May.

In the initial phase of the emergency, the press carried repeated reports of the possibility of a 'second' earthquake of more than a magnitude eight ($M > 8$). Scientists had identified an area to the west of Kathmandu which was constantly being loaded with stress because of plate motion, but which had not experienced a big earthquake for more than 400 years. The apparent threat of this second event was picked up by humanitarian agencies and, on 5 May, Concern staff received a briefing from OCHA which indicated that there was a '50% chance of another earthquake occurring in the following weeks'. At this time humanitarian staff on the ground were experiencing hundreds of aftershocks, so the idea of a 'second' earthquake was confusing: it seemed to suggest that this earthquake would be a special event and that, therefore, the 'normal' aftershocks were not the danger. The Concern team was deployed in Dolakha Region, some 170km east of Kathmandu, where they faced the question whether they were in danger from this future event. They immediately forwarded the OCHA report to the scientists who were monitoring seismicity.

The first report from the University of Edinburgh team was emailed to Concern staff on 7 May. It forecast that, when the uncertainty in the estimate was considered, the worst-case probability of an aftershock of magnitude greater than $M7.5$ was around 20%.² It also pointed out, in contrast to the 'second' earthquake theory, that since

² Excerpt from message of 7 May 2015 from the Edinburgh team to Concern in response to the circular from OCHA: 'At the minute the best we can say is as of this morning our best estimate of the probability of a 7.5 within 29 days (not '... in the following weeks ...') of the original earthquake is about 0.1 with an uncertainty (assuming a few technical things) of about 0.1. So worst case about 1 in 5. An earthquake bigger than the original 7.8 has a much lower probability. NB. This does not include the very small probability of the triggering of a big event just along the same fault which gave the mainshock but the science suggesting this is incomplete, weak, unquantifiable and not ready for use. I do not think it alters these basic sums much.'

recent seismicity was concentrated in Dolakha Region, this was the most likely place for future large events, rather than west of Kathmandu (Figure 1). Because this information came directly from a trusted source, it helped clarify the confusing situation for the Concern team on the ground. It was used to reinforce awareness of the continued risk of further large aftershocks in the area in which they were working. This was vital as, within a week of the main shock, members of the population were beginning to re-enter buildings, and in some instances being encouraged to do so by staff from other NGOs.

On 12 May, 18 days after the main shock, an aftershock of M7.3 hit Dolakha to the north-east of Kathmandu, causing considerable additional damage to buildings. In some areas, 98% of buildings were destroyed, many that had survived the main shock collapsed and there were more than 200 new fatalities and 2,500 people injured. At this point, 12 Concern staff and 45 partner staff members were deployed in the region. There were no casualties among staff, but landslides temporarily trapped five relief trucks, and two staff working in communities were also stranded. This event was entirely consistent with the high probability of an M7.5 aftershock presented in the 7 May report and the accuracy of the forecast further galvanised the Concern team around the aftershock issue.

An updated report on 14 May confirmed that Concern were still working in the area of maximum seismic activity and concentrating their response in the right areas. Had the University of Edinburgh team's research indicated that the focus of seismic activity had shifted to other regions, Concern would have considered undertaking an awareness-raising campaign at the very least in these new localities, urging people to stay outdoors while the risks of further damaging earthquakes remained high. The scientific basis of the forecasts meant that the Concern team could talk publically about this risk to other NGOs and local partners, whereas previously they had been reluctant to do so in case what they said was wrong. The team also produced revised safety and security guidelines that included the most recent forecast material and incorporated this into briefings for all new staff. Rather than being 'at the mercy of

events', discussions were held about the level of future risk, and mitigation measures were put in place.

Conclusion: towards mainstreaming aftershock forecasts for humanitarian decision-making

The complex and fast-moving situation in Nepal in 2015 would have been familiar to anyone who has been involved in similar humanitarian emergencies. Despite these challenges, we maintain that the project led to new knowledge and understanding around aftershock risk, and supported more effective, confident and credible evidence-led decisions and practice. In particular, in accurately forecasting the high probability of a second large event focused on the Dolakha Region, it informed aspects of Concern's emergency response in valuable ways. The forecasts will continue to inform Concern's ongoing disaster risk reduction (DRR) work in Nepal. Unfortunately, for some in science and government, there is a belief that the possibility of a 'second' large earthquake was realised on 12 May with the M7.3 aftershock, and consequently that the threat of a large earthquake in the future has receded. However, an understanding of earthquake science shows that giant, destructive events will still happen in Nepal, and that the Dolakha earthquake, shown clearly to have been an aftershock of the initial Gorkha event, does not reduce this possibility. The threat of a large $M > 8.0$ earthquake in Nepal remains and must be included in future prevention, mitigation and preparedness planning.

There are two key challenges in moving this approach beyond the pilot stage to wider adoption within the humanitarian sector: organisational capacity and data quality. The success of the initiative was highly dependent on the expertise of a small number of people. The underpinning science is complex and takes skill, time and effort to produce. As is clear from the details contained, for example, in the 7 May message from the University of Edinburgh team to Concern, significant training is required before humanitarian staff are able to understand the science and apply it

proficiently. To replicate this level of skill and knowledge at scale will require the commitment of resources from both the science and humanitarian communities.³

The second key challenge is data quality. The quality of the data provided by the Nepal Seismological Agency for the Nepal 2015 earthquake was better than is the case in many of the earthquake-prone countries in which humanitarian agencies operate, but much remains to be done. If this approach is to be mainstreamed, there needs to be innovation around real-time data collection using the rapid deployment of portable seismometers as part of the emergency response to a major earthquake.⁴ Wider uptake of this initiative, as with humanitarian innovation in general, is dependent on a twin strategy of refining, evaluating and consolidating the approach to consistently demonstrate its usefulness, and advocacy to address institutional and other barriers to adoption (Obrecht, 2016).

Max Hope is Senior Lecturer in Human Geography & Planning, School of Arts, Environment & Technology, Leeds Beckett University. **John McCloskey** is Professor in Natural Hazards Science, School of Geosciences, University of Edinburgh. **Dom Hunt** is Disaster Risk Reduction Advisor at Concern Worldwide. **Dominic Crowley** is Emergency Director at Concern Worldwide

Bibliography

Concern Worldwide (2015) *Disaster Risk Reduction for Community Resilience: A synthesis of lessons from more than a decade of Disaster Risk Reduction Programming*.

International Federation of Red Cross and Red Crescent Societies (2012) *Earthquakes: Guidelines on Preparing, Responding and Recovering*.

³ To address this, the University of Edinburgh team is currently developing a distance learning Continuous Professional Development programme in aftershock science for emergency response which is due for first delivery in the autumn of 2017. The course is specifically designed for high-level decision-makers in humanitarian response worldwide including NGOs, UN agencies (particularly OCHA and UNISDR), government agencies and civil defence organisations. See <http://www.ed.ac.uk/geosciences/postgraduate/taught-masters> for more details.

⁴ The University of Edinburgh team has recently received funding under the UK Global Challenge Research Fund to explore the possibility of using mobile phones as emergency response seismometers. The project aims to allow humanitarians to deploy these instruments as part of their initial response to earthquakes, so that real-time forecasting can become a standard part of emergency earthquake response.

Nepal National Seismological Centre, <http://www.seismonepal.gov.np>.

Obrecht, A. (2016) Separating the 'Good' Failure from the 'Bad': Three Success Criteria for Innovation, *Humanitarian Exchange*, no. 66.

Scriven, K. (2016) 'Humanitarian Innovation and the Art of the Possible', *Humanitarian Exchange*, no. 66.

United States Geological Survey (2016) *April 2015 Nepal Earthquake Report*, <http://earthquake.usgs.gov/earthquakes/eventpage/us20002926#executive>.

United States Geological Survey, 2016. [Online]
Available at: <http://earthquake.usgs.gov/>

USGS (2016) *ANSS Comprehensive Earthquake Catalog (ComCat) Documentation*, <http://earthquake.usgs.gov/data/comcat>.